U.S. Navy Capstone Strategies and Concepts (1970-2010):

Comparisons, Contrasts, and Changes: Volume II

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with Karin Duggan

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Dr. W. Eugene Cobble, Jr.
Director, Strategic Initiatives Group

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Introduction & guide

- This paper provides enlarged charts of selected comparisons among 35 or so US Navy capstone documents across, entire 1970-2010 period
- Charts are selected from *U.S. Navy in the World (1970-2010): Comparison, Contrasts and Changes, Volume I*
  - See inside back cover for complete reference information
- Charts are reproduced here in larger, more readable format than those in *Volume I*

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Comparing who tasked them (I)

Project SIXTY	CNO
Missions of the U.S. Navy	PNWC
NWP 1 (Rev. A): Strategic Concepts of the U.S. Navy	CNO
Sea Plan 2000	SECNAV, SECDEF
CNO Strategic Concepts, Future of U.S. Sea Power	CNO
The Maritime Strategy	VCNO, CNO
The Way Ahead	SECNAV
The Navy Policy Book	CNO
. . . From the Sea	SECNAV
Naval Doctrine Pub (NDP) 1: Naval Warfare	CNO, CMC
Forward . . . From the Sea	SECNAV
Navy Operational Concept (NOC)	CNO, CMC
Anytime, Anywhere	CNO
Navy Strategic Planning Guidance (NSPG) I & II	CNO
Sea Power 21 & Global CONOPs	CNO
Naval Power 21 . . . A Naval Vision	SECNAV
Naval Operating Concept for Joint Operations (NOCJO)	CNO, CMC
Fleet Response Plan (FRP)	CNO
Navy Strategic Plan (NSP) ISO POM 08	CNO
Naval Operations Concept (NOC) 2006	CNO, CMC
Navy Strategic Plan (NSP) ISO POM 10	CNO
A Cooperative Strategy for 21st Century Seapower	CNO
Navy Strategic Plan (NSP) ISO POM 10 (Change 1)	VCNO
Navy Strategic Guidance (NSG) ISO PR 11	CNO
Navy Strategic Plan (NSP) ISO POM 12	VCNO
Naval Operations Concept (NOC) 2010	CNO, CMC
Naval Doctrine Pub (NDP) 1: Naval Warfare	COMNWDC, CGMCCDC
Navy Strategic Plan (NSP) ISO POM 13	CNO

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Comparing principal target audiences (I)

Project SIXTY	SECDEF, OSD, DON, OPNAV
Missions of the U.S. Navy	USN officer corps
NWP 1 (Rev. A)	USN officer corps, Congress
Sea Plan 2000	SECDEF, OSD, DON, OPNAV, Congress
Future of U.S. Sea Power	USN officer corps, Congress
The Maritime Strategy	Many, esp. USN officer corps, Soviets
The Way Ahead	Many, esp. USN officer corps
The Navy Policy Book	USN officers & enlisted
. . . From the Sea	Many, esp. USN & USMC officer corps
NDP 1	All services' officer corps
Forward . . . From the Sea	Many, esp. USN officer corps
NOC	USN officer corps
Anytime, Anywhere	Many, esp. USN officer corps
NSPG I & II	DON planners, programmers, budgeters
SP 21 & Global CONOPs	Many, esp. USN programmers
Naval Power 21	USN & USMC leadership
NOCJO	USN & USMC officers & enlisted
Fleet Response Plan (FRP)	SECDEF, OSD, USN officers; later USN enlisted & families
NSP ISO POM 08	DON planners, programmers, budgeters
NOC 2006	USN & USMC officers & enlisted
NSP ISO POM 10	DON planners, programmers, budgeters
A Cooperative Strategy	American people, Congress
NSP ISO POM 10 (Change 1)	DON programmers, budgeters
NSG ISO PR 11	DON programmers, budgeters
NSP ISO POM 12	DON programmers, budgeters
NOC 2010	Sailors, Marines, Coastguardsmen, Congress
NDP 1	Sailors, Marines, Coastguardsmen
NSP ISO POM 13	DON programmers, budgeters

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Comparing what *they* said they were (II)

Project SIXTY	"Assessment & direction"
Missions of the U.S. Navy	"Missions"
NWP 1 (Rev. A): Strategic Concepts of the U.S. Navy	"Strategic concepts"
Sea Plan 2000	"Force planning study"
CNO Strategic Concepts & Future of U.S. Sea Power	"Strategic concepts & Fundamental principles"
The Maritime Strategy	"Strategy", "Strategic Vision"
The Way Ahead	"Way ahead"
The Navy Policy Book	"Policy" (included a "vision")
. . . From the Sea	"White paper, combined vision"
Naval Doctrine Pub (NDP) 1: Naval Warfare	"Doctrine"
Forward . . . From the Sea	"Strategic concept"
Navy Operational Concept (NOC)	"Operational concept"
Anytime, Anywhere	"Vision"
Navy Strategic Planning Guidance (NSPG) I & II	"Strategic planning guidance" (including "operational concepts")
Sea Power 21 & Global CONOPs	"Vision, CONOPS"
Naval Power 21: A Naval Vision	"Vision"
Naval Operating Concept for Joint Operations (NOCJO)	"Operating concept"
Fleet Response Plan (FRP)	"Concept, then plan" "Operational framework"
Navy Strategic Plan (NSP) ISO POM 08	"Strategic plan" (included a "vision")
Naval Operations Concept (NOC) 2006	"Operations concept", "vision"
Navy Strategic Plan (NSP) ISO POM 10	"Strategic plan"
A Cooperative Strategy for 21st Century Seapower	"Strategy"
Navy Strategic Plan (NSP) ISO POM 10 (Change 1)	"Strategic plan"
Navy Strategic Guidance (NSG) ISO PR 11	"Strategic guidance"
Navy Strategic Plan (NSP) ISO POM 12	"Strategic plan"
Naval Operations Concept (NOC) 2010	"Operations concept"
Naval Doctrine Pub (NDP) 1: Naval Warfare	"Doctrine"
Navy Strategic Plan (NSP) ISO POM 13	"Strategic Plan"

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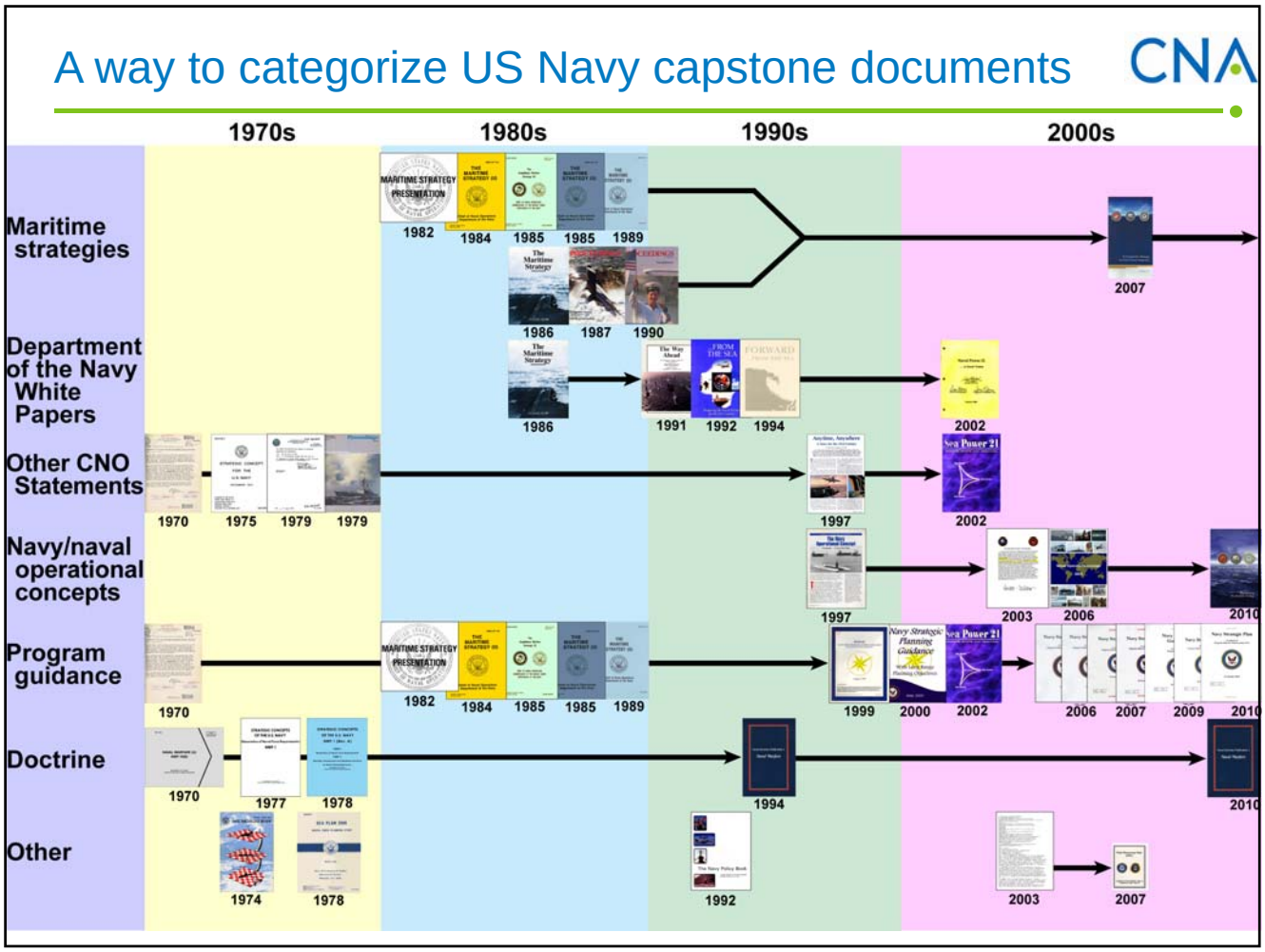
A way to categorize US Navy capstone documents



Where -- in USN -- they were drafted (I)

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Project SIXTY	CNO Flag SAs
Missions of the U.S. Navy	NWC
NWP 1 (Rev. A): Strategic Concepts of the U.S. Navy	CNO, OP-60N
Sea Plan 2000	Working group
CNO Strategic Concepts, Future of U.S. Sea Power	CNO EA
The Maritime Strategy	OP-603, OP-00K
The Way Ahead	OP-07
The Navy Policy Book	OP-00K
. . . From the Sea	Working group, then small senior group
Naval Doctrine Pub (NDP) 1: Naval Warfare	NAVDOCCOM
Forward . . . From the Sea	N513
Navy Operational Concept (NOC)	Working group, then N513
Anytime, Anywhere	N00K
Navy Strategic Planning Guidance (NSPG) I & II	N513
Sea Power 21 & Global CONOPs	N00Z, N81
Naval Power 21 . . . A Naval Vision	Deep Blue
Naval Operating Concept for Joint Operations (NOCJO)	NWDC, N513
Fleet Response Plan (FRP)	Deep Blue
Navy Strategic Plan (NSP) ISO POM 08	N5SP
Naval Operations Concept (NOC) 2006	Deep Blue, N5SP
Navy Strategic Plan (NSP) ISO POM 10	N5SC
A Cooperative Strategy for 21st Century Seapower	N5SAG
Navy Strategic Plan (NSP) ISO POM 10 (Change 1)	N5SC
Navy Strategic Guidance (NSG) ISO PR 11	N513
Navy Strategic Plan (NSP) ISO POM 12	N513
Naval Operations Concept (NOC) 2010	N51
Naval Doctrine Pub (NDP) 1: Naval Warfare	NWDC
Navy Strategic Plan (NSP) ISO POM 13	N513

Note: RED = OPNAV N513 + predecessors (OP-603 /N5SC/N513).

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Who drafted them? From what community?

Project SIXTY	CAPT S. Turner, RADM W. Bagley	Surface, Surface
Missions of the U.S. Navy	VADM S. Turner, CDR G. Thibault	Surface, Surface
NWP 1	ADM J. Holloway, LCDR J. Strasser	TACAIR aviator, Surface
Sea Plan 2000	Many, esp. Prof. F. J. West (was USMC), LCDR J. Stark	Surface
Future of U.S. Sea Power	CAPT W. Cockell	Surface
The Maritime Strategy	CDR S. Johnson, LCDR S. Weeks, CAPT R. Barnett, CDR P. Swartz, CAPT L. Seaquist, CDR T.W. Parker, CAPT L. Brooks, CDR R.R. Harris, CDR R.M. Brown	Surface (6), General URL, Submariner, helo aviator
The Way Ahead	CDR R. Wright, CAPT W. Center	Surface, Surface
The Navy Policy Book	CDR J. Holden	General URL
. . . From the Sea	Many, esp. CAPT H. Petrea	TACAIR aviator, etc.
NDP 1: Naval Warfare	CDR R. Zalaskus	Submariner
Forward . . . From the Sea	CAPT J. Sestak, LCDR E. O'Callahan	Surface, MPRA aviator
Navy Operational Concept	CDR J. Bouchard, VADM A. Cebrowski	Surface, TACAIR aviator
Anytime, Anywhere	CAPT R. R. Harris, CAPT E. Smith	Surface, Intel
NSPG I & II	CDR C. Faller, LT C. Cavanaugh	Surface, Submariner
SP 21 & Global CONOPS	CAPT F. Pandolfe, CDR S. Richter	Surface, Surface
Naval Power 21	RDML J. Stavridis	Surface
NOCJO	Various, esp. CAPT B. Barrington, CAPT T. Klepper	Surface, MPRA aviator
Fleet Response Plan	RDML D. Crowder, CAPT J. Bouchard	Surface, Surface
NSP ISO POM 08	RDML C. Martoglio	Surface
NOC 2006	CAPT P. Cullom, CDR T. Disy	Surface, Surface
NSP ISO POM 10 (& CH 1)	CDR P. Nagy, LCDR J. Stewart	Surface, MPRA aviator
Cooperative Strategy	CDR B. McGrath, LT J. Ennis	Surface, Surface
NSG ISO PR 11	CDR S. Kelley, Ms. K. Schenck	Helo aviator, Civ.Contractor
NSP ISO POM 12	CDR S. Kelley, Ms. K. Schenck	Helo aviator, Civ.Contractor
NOC 2010	CAPT D. Venlet, LCDR M. Mosbruger, CAPT J. McLain	Surface, Surface, Helo
NDP 1: Naval Warfare	CAPT (Ret) E. Long	Civ.Contractor (was Surface)
NSP ISO POM 13	CDR E. Fino	Submariner

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Comparing who signed them

CNA

Year	Publication	SECNAV	CNO	CMC	CCG	CFFC	PNWC
1970	Project SIXTY		Zumwalt				
1974	Missions of the U.S. Navy						Turner
1978	NWP 1 (Rev. A):		Holloway				
1978	Sea Plan 2000	Claytor					
1979	Future of U.S. Sea Power		Hayward				
1982-	The Maritime Strategy	Lehman	Watkins	Kelley			
1990			Trost				
1991	The Way Ahead	Garrett	Kelso	Gray			
1992	The Navy Policy Book		Kelso				
1992	…From the Sea	O'Keefe	Kelso	Mundy			
1994	NDP 1		Kelso	Mundy			
1994	Forward…From the Sea	Dalton	Boorda	Mundy			
1997	NOC		Johnson				
1997	Anytime, Anywhere		Johnson				
1999-0	NSPG I & II		Johnson				
2002	SP 21 & Global CONOPs		Clark				
2002	Naval Power 21: A Naval Vision	England	Clark	Jones			
2003	NOCJO		Clark	Hagee			
2003	Fleet Response Plan (FRP)		Clark			Natter	
2006	NSP ISO POM 08		Mullen				
2006	NOC		Mullen	Hagee			
2007	NSP ISO POM 10		Mullen				
2007	A Cooperative Strategy		Roughead	Conway	Allen		
2007	NSP ISO POM 10 (Change 1)		Roughead				
2009	NSG ISO PR 11		Roughead				
2009	NSP ISO POM 12		Roughead				
2010	NOC		Roughead	Conway	Allen		
2010	NDP 1		Roughead	Conway	Allen		
2010	NSP ISO POM 13		Roughead				

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Comparing document length (II)

CNA

Project SIXTY	30 (plus 44 slides)
Missions of the U.S. Navy	16
NWP 1 (Rev. A): Strategic Concepts of the U.S. Navy	37
Sea Plan 2000	(U) 23/ (S) 889
CNO Strategic Concepts, Future of U.S. Sea Power	7 , 6
The Maritime Strategy	(S) 70, 87, 47(AW), 70, 51/ (U) 40, 4, 9
The Way Ahead	12
The Navy Policy Book	40
. . . From the Sea	16
Naval Doctrine Pub (NDP) 1: Naval Warfare	76
Forward . . . From the Sea	12
Navy Operational Concept (NOC)	8
Anytime, Anywhere	3
Navy Strategic Planning Guidance (NSPG) I & II	(S) 55 & (U) 90
Sea Power 21 & Global CONOPS	48
Naval Power 21 . . . A Naval Vision	6
Naval Operating Concept for Joint Operations (NOCJO)	23
Fleet Response Plan (FRP)	3
Navy Strategic Plan (NSP) ISO POM 08	(U) 23/ (S) 42
Naval Operations Concept (NOC) 2006	36
Navy Strategic Plan (NSP) ISO POM 10	75
A Cooperative Strategy for 21st Century Seapower	16
Navy Strategic Plan (NSP) ISO POM 10 (Change 1)	76
Navy Strategic Guidance (NSG) ISO PR 11	33
Navy Strategic Plan (NSP) ISO POM 12	76
Naval Operations Concept (NOC) 2010	102
Naval Doctrine Pub (NDP) 1: Naval Warfare	54
Navy Strategic Plan (NSP) ISO POM 13	19

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Comparing how they were published (I)

CNA

Project SIXTY	Briefings, Ltr to Flags
Missions of the U.S. Navy	NWCR,NIP
NWP 1 (Rev. A)	NWP, NIP, Posture statements
Sea Plan 2000	Study document, IS
Future of U.S. Sea Power	Ltr to Flags, Briefings, NIP, Posture Statement, testimony
The Maritime Strategy	Document, NIP, IS; booklet, video, testimony, books
The Way Ahead	NIP; MCG; booklet
The Navy Policy Book	Booklet
. . . From the Sea	NIP; MCG; booklet, web
NDP 1: Naval Warfare 1994	booklet, web
Forward . . . From the Sea	NIP; MCG; booklet, web
NOC 1999	Sea Power, web
Anytime, Anywhere	NIP
NSPG I & II	Booklets, web, SIPRNET
SP 21 & Global CONOPs	Speech, NIPs; booklets, web, NWCR, Program Guide
Naval Power 21 . . . A Naval Vision	Web
NOCJO	Web
Fleet Response Plan (FRP)	Naval messages, instructions, NIP, web, booklet, testimony
NSP ISO POM 08	Web, SIPRNET
NOC 2006	Booklet, web, ITN
NSP ISO POM 10	SIPRNET
Cooperative Strategy	Book, web, DVD, CD, NIP, MCG, NWCR, PG, testimony, blog
NSP ISO POM 10 (Change 1)	SIPRNET
NSG ISO PR 11	SIPRNET
NSP ISO POM 12	SIPRNET
NOC 2010	Book, web, blogs
NDP 1: Naval Warfare 2010	Booklet, web, tri-fold
NSP ISO POM 13	SIPRNET

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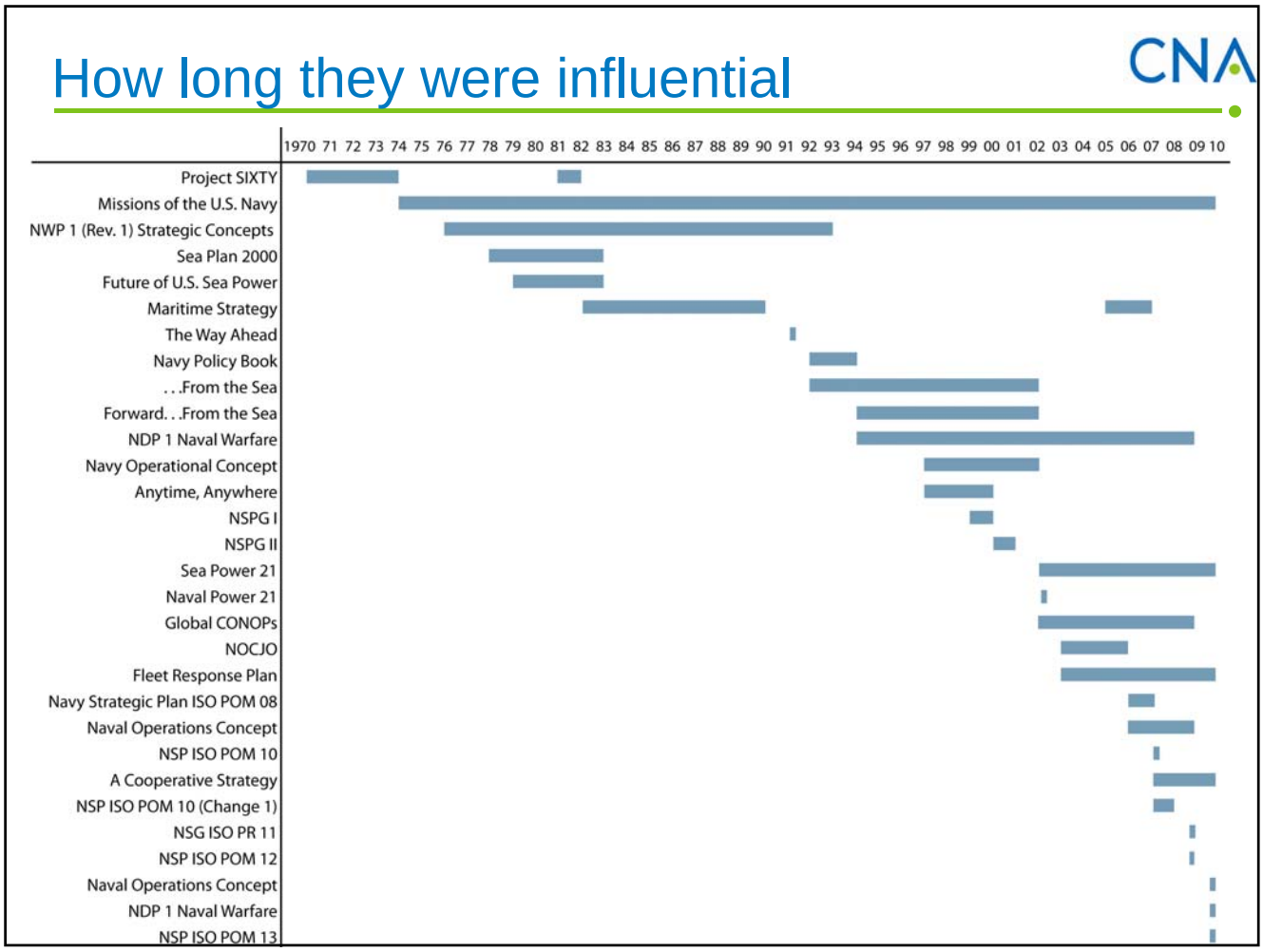
How long they were influential



Principal potential threats & challenges identified (I)

CNA

Project SIXTY	Soviet Union, but also Communist China
Missions of the U.S. Navy	Soviet Union, but also Communist China
NWP 1 (Rev. A)	"aggression," "threat"
Sea Plan 2000	Soviet Union
Future of U.S. Sea Power	Soviet Union, Warsaw Pact
The Maritime Strategy	Soviet Union and its allies and clients
The Way Ahead	"a number of regional threats," possible re-emergent global threat
The Navy Policy Book	"enemies"
. . . From the Sea	"regional challenges," regional adversary," "regional littoral threats"
NDP 1: Naval Warfare	"adversary," "enemy," "growing regional threats"
Forward . . . From the Sea	"aggression by regional powers"
Navy Operational Concept	"any foe that may oppose us," "aggressors"
Anytime, Anywhere	"Foes," "enemy," "aggressor"
NSPG II (2000)	"Potential adversary capabilities" (principally China, Russia, N. Korea, Iran, Iraq)
SP 21 & Global CONOPs	"evolving regional challenges and transnational threats"
Naval Power 21	"our nation's enemies"
NOCJO	"Conventional and unconventional threats…to challenge US military superiority"
Fleet Response Plan (FRP)	Short-notice requirements for significant forward naval forces
NSP ISO POM 08 (U)	A few hostile states – some with nuclear weapons; terrorists, proliferators, etc.
NOC 2006	"a diverse array of rising nations, failing states, and non-state actors"
NSP ISO POM 10 (& Ch 1)	CLASSIFIED
Cooperative Strategy	"Major power war, regional conflict, terrorism, lawlessness, and natural disasters"
NSG ISO PR 11	CLASSIFIED
NSP ISO POM 12	CLASSIFIED
NOC 2010	"a broad range of nuclear, conventional and irregular challenges"
NDP 1: Naval Warfare	"anti-access & area-denial capabilities;" "regional aggressors;" "another great power;" "transnational threats"
NSP ISO POM 13	CLASSIFIED

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How they were organized & constructed (II)

CNA

Year	Documents	Navy capabilities	Spectrum of conflict	Pillars	Other
1970	Project SIXTY	●			
1974	Missions of the Navy	●			Tactics
1978	NWP 1 (Rev A)	●			12 warfare tasks
1978	Sea Plan 2000		●		Capabilities
1979	Future of US Sea Power				Principles, conclusions
1980s	The Maritime Strategy	●	●		Warfare tasks
1991	The Way Ahead				4 elements, missions list
1992	Navy Policy Book				20 characteristics
1992	...From the Sea	●		●	
1994	NDP 1: Naval Warfare	●		●	Characteristics, principles; styles
1994	Forward...From the Sea	●	●		
1997	NOC		●		
1997	Anytime, Anywhere	●			
2000	NSPG		●	●	10-part model
2002	SP 21 & Global CONOPs	●	●	●	
2002	Naval Power 21			●	
2003	NOCJO		●	●	USMC concepts
2003	Fleet Response Plan				Levels of war, challenges,
2006	NSP ISO POM 08			●	focus areas, levels of war
2006	NOC	●			Multiple constructs
2007	NSP ISO POM 10 + Ch 1	●		●	Strategic imperatives
2007	Cooperative Strategy	●			Strategic imperatives
2009	NSG ISO PR 11	●			Strategic imperatives
2009	NSP ISO POM 12				Strategic imperatives
2010	NOC	●			Strategic imperatives
2010	NDP 1: Naval Warfare	●			3 levels of war; 6 phases of ops
2010	NSP ISO POM 13	●			Strategic imperatives

Construct #1: "Navy capabilities"

CNA

Project SIXTY	4 categories of USN capabilities ("classic 4")
Missions of the U.S. Navy	4 missions ("classic 4")
NWP 1 (Rev. A)	2 functions (SC, PP); 3 roles (incl/ strat nuclear deterrence); presence a side benefit
Sea Plan 2000	
Future of U.S. Sea Power	
The Maritime Strategy	sea control, power proj., sealift (1986); deterrence, forward ops, alliances (1990)
The Way Ahead	
The Navy Policy Book	20 characteristics of naval operations, incl/ "classic 4", sealift
. . . From the Sea	6 capabilities, incl/ "classic 4", crises, sealift
NDP 1: Naval Warfare	6 ways naval forces accomplish roles
Forward . . . From the Sea	5 fundamental and enduring roles ("classic 4" + sealift)
Navy Operational Concept	
Anytime, Anywhere	4 broad missions (sea control the prerequisite)
NSPG II	10-part multi-level model, incl/ "classic 4" missions
SP 21 & Global CONOPs	5 enduring missions ("classic 4" + strategic sealift)
Naval Power 21	
NOCJO	
Fleet Response Plan	
NSP ISO POM 08	
NOC 2006	13 naval missions, incl/ "classic 4". No sealift.
NSP ISO POM 10 + Ch 1	6 CNO core naval mission areas, 7 other CNO naval mission areas
Cooperative Strategy	6 expanded core capabilities ("classic 4" + MSO, HA/DR). No sealift.
NSG ISO PR 11	6 core capabilities; 6 additional capabilities
NSP ISO POM 12	
NOC 2010	6 core capabilities
NDP 1: Naval Warfare	6 core capabilities
NSP ISO POM 13	6 core capabilities

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Evolution of "navy capabilities" construct (I)

CNA

Project SIXTY (1970)

4 capabilities

- Assured second strike
- Control of sea lines & areas/Sea control
- Projection of power ashore
- Overseas presence in peacetime

Missions of the US Navy (1974)

4 missions/mission areas

- Strategic deterrence
- Sea control
- Projection of power (ashore)
- Naval presence

NWP 1 (Rev A) (1978)

2 functions

- Sea control
- Power projection

The Maritime Strategy (1986 and 1990)

Missions (1986)	*Principles (1990)*
Sea control	Deterrence
Power projection	Forward Ops
Sealift	Alliances

The Way Ahead (1991)

Major defense policy elements

- Deterrence
  - Nuclear
  - Conventional
- Forward presence
- Crisis response
  - Power projection
  - Keep sea lines open
- Force reconstitution

Navy Policy Book (1992)

4 primary elements

- Deterrence
- Forward Presence
- Crisis response
- Reconstitution

Evolution of "navy capabilities" construct (II)

CNA

...From the Sea (1992)

6 capabilities

- Forward deployment/ presence
- Strategic deterrence
- Control of the seas
- Crisis response
- Project precise power
- Sealift

NDP 1 Naval Warfare (1994)

6 ways to carry out roles

- Deterrence
- Forward presence
- Naval Ops other than war
- Sealift
- Joint Operations
- Naval Operations in war

Forward...From the Sea (1994)

5 fundamental & enduring roles

- Projection of power from sea to land
- Sea control & maritime supremacy
- Strategic deterrence
- Strategic sealift
- Forward naval presence

Anytime, Anywhere (1997)

4 broad missions

- Sea & area control
- Power projection
- Presence
- Deterrence

Seapower 21 (2002)

5 enduring missions

- Sea control
- Power projection
- Strategic deterrence
- Strategic sealift
- Forward presence

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Evolution of "navy capabilities" construct (III)

CNA

Naval Operations Concept (2006)

13 naval missions

- Forward naval presence
- Crisis response
- Expeditionary power projection
- Maritime security operations
- Sea control
- Deterrence
- Security cooperation
- Civil-military operations
- Counterinsurgency
- Counterterrorism
- Counter-proliferation
- Air & missile defense
- Information operations

Cooperative Strategy for 21st Century Seapower (2007)

6 expanded core capabilties

- Forward presence
- Deterrence
- Sea control
- Power projection
- Maritime security
- Humanitarian assistance & disaster response

Naval Operations Concept (2010)

6 core capabilties

- Forward presence
- Maritime Security
- Humanitarian assistance & disaster response
- Sea Control
- Power Projection
- Deterrence

NDP 1 Naval Warfare (2010)

6 core capabilties

- Forward presence
- Deterrence
- Sea control
- Power projection
- Maritime security
- Humanitarian assistance/disaster response

Construct #2: "Spectrum of conflict"

CNA

Project SIXTY Missions of the U.S. Navy NWP 1 (Rev. A) Sea Plan 2000 Future of U.S. Sea Power	Maintain stability, contain crises, deter worldwide war
The Maritime Strategy	Peacetime presence, crisis response, global conventional war
The Way Ahead The Navy Policy Book . . . From the Sea NDP 1: Naval Warfare Forward . . . From the Sea	Peacetime forward presence operations, crisis response, regional conflict
Navy Operational Concept	Peacetime engagement, deterrence and conflict prevention, fight and win
Anytime, Anywhere NSPG II	Regional stability, deterrence, timely crisis response, warfighting and winning
SP 21 & Global CONOPs	Deterring forward in peacetime, responding to crises, fighting and winning wars
Naval Power 21 NOCJO Fleet Response Plan NSP ISO POM 08 NOC 2006 NSP ISO POM 10 + Ch 1 Cooperative Strategy NSG ISO PR 11 NSP ISO POM 12 NOC 2010	Secure homeland, global stability, deterrence, crisis response, Force build-up in theater, conflict resolution, war
NDP 1: Naval Warfare NSP ISO POM 13	Shape, deter, seize the initiative, dominate, stabilize, enable civil authority

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Evolution of "spectrum of conflict" construct (I)

CNA

Sea Plan 2000 (1978)

3 primary national security objectives

- Maintain stability
- Contain crises
- Deter worldwide war

The Maritime Strategy (1980s)

Range of conflict possibilities

- Peacetime presence
- Crisis response
- Global conventional war
  - •Transition to war
  - •Seize the initiative
  - •Carry the fight to the enemy
  - •War termination on favorable terms

The Way Ahead (1991)

Wide variety of missions

- Peacetime situations
- Crisis
- Conflict resolution

Forward...from the Sea (1994)

A continuum of forward operations

- Peacetime presence operations
- Crisis response
- Regional conflict

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Evolution of "spectrum of conflict" construct (II)

CNA

Navy Operational Concept (1997)

3 components of the National Military Strategy

- Peacetime engagement
- Deterrence & conflict prevention
- Fight & win

Navy Strategic Planning Guidance (2000)

Ends

- Regional stability
- Deterrence
- Timely crisis response
- War fighting & winning

Sea Power 21 (2002)

Continuum of warfare

- Deterring forward in peacetime
- Responding to crises
- Fighting & winning wars

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Evolution of "spectrum of conflict" construct (III)

CNA

Naval Operating Concept for Joint Operations (2003)

Flexible response

- Secure homeland
- Global stability
- Deterrence
- Crisis response
- Force build-up in theater
- Conflict resolution
- War

NDP 1 Naval Warfare (2010)

Phases of Operations or campaigns

- Shape
- Deter
- Seize the initiative
- Stabilize
- Enable civil authority

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Construct #3: "Pillars"

CNA

Project SIXTY	
Missions of the U.S. Navy	
NWP 1 (Rev. A)	
Sea Plan 2000	
Future of U.S. Sea Power	
The Maritime Strategy	
The Way Ahead	
The Navy Policy Book	
. . . From the Sea	C2 & surveillance, battlespace dominance, power projection, force sustainment
NDP 1: Naval Warfare	C2 & surveillance, battlespace dominance, power projection, force sustainment
Forward . . . From the Sea	
Navy Operational Concept	
Anytime, Anywhere	
NSPG II	Battlespace control, battlespace attack, b-space sustainment, knowledge superiority
SP 21 & Global CONOPs	Sea strike, sea shield, sea basing, FORCEnet, sea trial, sea warrior, sea enterprise
Naval Power 21	Sea strike, sea shield, sea basing, FORCEnet, sea trial, sea warrior, sea enterprise
NOCJO	Sea strike, sea shield, sea basing, FORCEnet, sea warrior, sea trial, USMC concepts
Fleet Response Plan	
NSP ISO POM 08	Sea strike, sea shield, sea base, sea shaping, sea enterprise, sea trial, sea warrior
NOC 2006	
NSP ISO POM 10 + Ch1	Sea strike, sea shield, sea base, FORCEnet, enterprises
Cooperative Strategy	
NSG ISO PR 11	
NSP ISO POM 12	
NOC 2010	
NDP 1: Naval Warfare	
NSP ISO POM 13	

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Evolution of "pillars" construct (I)

CNA

...From the Sea (1992)

4 key operational capabilities

- Command, control & surveillance
- Battlespace dominance
- Power projection
- Force sustainment

NDP 1 Naval Warfare (1992)

4 critical operational capabilities

- Command, control & surveillance
- Battlespace dominance
- Power projection
- Force sustainment

Navy Strategic Planning Guidance (2000)

Ways

- Battlespace control
- Battlespace attack
- Battlespace sustainment

Means

- Forward presence
- Knowledge superiority

Sea Power 21 + Naval Power 21 (2002)

3 fundamental concepts

- Sea Strike
- Sea Shield
- Sea Basing

Enabled by

- FORCEnet

*Supporting triad of organizational processes**

- Sea Trial
- Sea Warrior
- Sea Enterprise

* Labeled "supporting triad of initiatives" in Naval Power 21

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Evolution of "pillars" construct (II)

CNA

Naval Operations Concept for Joint Operations (2003)

Integrated & complementary concepts

- Sea Strike
- Sea Shield
- Sea Basing

Enabled by

- FORCEnet
- Expeditionary Maneuver Warfare
- Operational Maneuver From The Sea (OMFTS)
- Ship-to-Objective Maneuver (STOM)
- Sea Warrior
- Sea Trial

Navy Strategic Plan ISO POM 08 (2006)

Sea Power 21 Pillars

- Sea Strike
- Sea Shield
- Sea Basing
- Sea Shaping
- Sea Enterprise
- Sea Trial
- Sea Warrior

Navy Strategic Plan ISO POM 10 (2007)

Sea Power 21 Pillars

- Sea Strike
- Sea Shield
- Sea Basing
- FORCEnet
- Enterprises

Alternative & supplemental constructs

CNA

Project SIXTY	
Missions of the U.S. Navy	4 missions each broken down into tactics
NWP 1 (Rev. A)	6 fundamental warfare tasks; 6 supporting warfare tasks
Sea Plan 2000	8 measures of naval capabilities, keyed to spectrum of war
Future of U.S. Sea Power	8 basic principles to guide structuring, employment of naval forces; 6 conclusions
The Maritime Strategy	6-8 warfare tasks in global conventional war with Soviets; 2-12 uncertainties
The Way Ahead	wide variety of missions: humanitarian asst., peace keeping, counternarcotics, etc.
The Navy Policy Book	Bush Aspen speech: Deterrence, forward presence, crisis response, reconstitution
. . . From the Sea	4 tradit/ capab's: Forward deployment, crisis response, strategic deterrence, sealift
NDP 1: Naval Warfare	9 Principles of War, 3 levels of war, 2 styles of war, 5 naval roles
Forward . . . From the Sea	
Navy Operational Concept	
Anytime, Anywhere	
NSPG II	10-part model: Means, ways, ends
SP 21 & Global CONOPs	
Naval Power 21	3 fundamental pillars: Assure access, fight & win, continually transform to improve
NOCJO	Incl/ USMC concepts: Expeditionary warfare maneuver, OMFTS, STOM
Fleet Response Plan	Contrasts old Inter-Deployment Cycle phases w/ new FRP readiness phases
NSP ISO POM 08	4 DOD challenges; 3 levels of war; 3 risk guidance categories
NOC 2006	3-5 strategic missions, 9 principles, 9 methods, 5 strategic objectives, 4 foundations
NSP ISO POM 10 + Ch 1	6 strategic imperatives, 3 risk guidance categories
Cooperative Strategy	6 strategic imperatives, 3 implementation priorities
NSG ISO PR 11	6 strategic imperatives, 3 risk guidance categories
NSP ISO POM 12	6 strategic imperatives, 3 risk guidance categories, 3 levels of war
NOC 2010	6 strategic imperatives
NDP 1: Naval Warfare	6 strategic imperatives, 3 levels of war, 12 joint principles
NSP ISO POM 13	6 strategic imperatives, 3 risk guidance categories

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CNA studies on U.S. Navy strategies and their context

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- Swartz, Peter M., with Karin Duggan, ***The U.S. Navy in the World (1981-1990): Context for U.S. Navy Capstone Strategies and Concepts,*** (MISC D0026419.A1/Final (December 2011).

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- Swartz, Peter M., with Karin Duggan, ***U.S. Navy Capstone Strategies and Concepts (2001-2010): Strategy, Policy, Concept, and Vision Documents,*** (MISC D0026241.A2/Final, December 2011).

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- Swartz, Peter M., and Karin Duggan, ***U.S. Navy Capstone Strategies and Concepts (1970-2010): Comparisons, Contrasts, and Changes: Volume I, (***MISC D0026422.A1/Final, December 2011).

- Swartz, Peter M., with Karin Duggan, ***U.S. Navy Capstone Strategies and Concepts (1970-2010): Comparisons, Contrasts, and Changes: Volume II,*** (MISC D0026423.A1/Final, December 2011).

- Swartz, Peter M., with Michael C. Markowitz, ***Organizing OPNAV (1970-2009),*** (CAB D0020997.A5/2Rev, January 2010).

- Swartz, Peter M., with Karin Duggan, ***U.S. Navy – U.S. Air Force Relationships 1970-2010,*** (MISC D0024022.A4/1Rev, June 2011).

These documents supersede Peter M. Swartz with Karin Duggan, *U.S. Navy Capstone Strategies & Concepts (1970-2009),* (MISC D0019819.A1/Final, February 2009.)